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## **Policy for the management of extraordinary event**

### **1 Purpose**

Provide guidelines for an appropriate course of action when dealing with extraordinary events that can halt or negatively impact the certification activities of MOTABAQAH CFCL, affects the certification status of its certified clients as well as new clients, and activities of the certified clients.

### **2 Scope**

This procedure can be applied in situation of unforeseen extraordinary events such as pandemic spreads, geographical destabilization, political unrests, wars, liquidation or bankruptcy, disasters such as floods, earthquakes, fires, further refer to 3.1 below. Application of this procedure helps MOTABAQAH CFCL & its client for effective maintenance of accreditation.

### **3 Definition**

#### **3.1 Extraordinary event (EE):**

An occurrence beyond the control of the organization, commonly referred to as an "act of God" or "Force Majeure". Examples are earthquakes, tsunami, hurricane, flooding, volcanic eruption, war, strike, riot, political instability, geopolitical tension, terrorism, crime, pandemic, malicious computer hacking, other natural or man-made disasters.

### **4 Procedures**

**4.1** Extraordinary events are beyond the control of MOTABAQAH CFCL and its clients; however it is important for MOTABAQAH CFCL as well as for its client(s) to determine the appropriate course of action when responding to the extraordinary event. Note the decision and instruction of the scheme owner shall always be followed, if any.

**4.2** An extraordinary event can affect MOTABAQAH CFCL in ways such as:

- Inability to properly communicate with its client, auditors, or any other parties,
- Inability to operate from the offices
- Inability to arrange for audit(s) in timely manner for certified clients as well as those new clients.

**4.3** Given the nature of the event and taking risk into account, MOTABAQAH CFCL can prepare an action plan in relation to the activities affected (Type 3 schemes), the action plan could be developed depending case by case at the time of the event to be managed, such as an event of pandemic that could prevent MOTABAQAH CFCL from conducting the planned and due audits for which course of action would depend case by case for each client situation where certain possibilities in the action plan can be considered to ensure certification status of the clients i.e. remote audit, postponement of audit to lateral dates (Note: the decision and instruction of the scheme owner shall be always followed, if any). Where needed a policy statement or notification or advisory as appropriate to the situation at that time can be developed and communicated to the affected clients.

**4.4** In case of system down, internet failures, IT issues or the likes, MOTABAQAH CFCL can opt alternate measure to manage the process as adequate, these measures could include offline or manual proceedings or soft/hard applications to ensure managing all the below given situations.

#### **4.5 MOTABAQAH CFCL operations and staff**

While it is important for MOTABAQAH CFCL to continue its operations during there is an extraordinary event which halts its operation MOTABAQAH CFCL will review the event and its expected length, develop an

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action plan accordingly after considering the risk and consequences. MOTABAQAH CFCL staff are vital asset and their wellbeing is always at utmost priority of MOTABAQAH CFCL, to ensure continuation of operations MOTABAQAH CFCL may allow its staff to work remotely from their homes for which an adequate setup and facilitation is arranged, other possibilities could include setting up of temporary office in country.

### **4.6 MOTABAQAH CFCL's certified clients**

**4.6.1** MOTABAQAH CFCL notifies its affected clients in relation to the extraordinary event similarly the clients facing any such event are also required to inform MOTABAQAH CFCL on how they plan to carry out its activities that fall under the scope of certification with MOTABAQAH CFCL so appropriate course of action can be determined mutually to ensure integrity of accreditation is maintained.

**4.6.2** MOTABAQAH CFCL at all times, ensures safety and wellbeing of its clients, staff, and auditors, the situation of each certified client will be decided case by case considering the nature of EE and its impact (nationally, regionally or globally). In all the cases MOTABAQAH CFCL will follow the travel advisories, local government policies, regional and global measures and those set restriction.

**4.6.3** MOTABAQAH CFCL may decide however case by case, the conduct of audit by following means:

– Option A

To conduct the audit: If certified client ensures that the audit team faces no travel or health related restrictions, and that a safe travel route was arranged.

– Option B

To conduct the audits remotely: If the certified client can accommodate to have a remote audit however a remote witness may not be practicable the witness activity may be postponed to later date or next audits as decided by MOTABAQAH CFCL. (For further information please refer to the policy of ICT, MOT/Po 02).

– Option C

Postpone the audit: If it is not possible or technically practicable to have a remote audit (e.g. for IT issues or if the employees cannot access their offices), the audit will be postponed for period determined by MOTABAQAH CFCL and the situation revisited before the end of the decided period.

### **4.7 New applications**

MOTABAQAH CFCL can continue to accept new application(s) considering the risk and sighting the expected situation in future thereafter, the steps like application review, appointment audit team, conductance of document review could take reasonable time by which EE might have been resolved to conduct the audit, for those new applications for which the planned audit is affected then the options given under 4.6.3 can be followed case by case. MOTABAQAH CFCL in situation of EE will notify the affected client the possible delays and request him to determine the course of action, if needed.

### **4.8 MOTABAQAH CFCL's auditors**

Our auditors are most valuable resource for MOTABAQAH CFCL, we will make sure that their health and wellbeing are preserved for the audit they would conduct for MOTABAQAH CFCL, as mentioned in 4.6 above, we will try to cover affected audit remotely, and the technical manager will take necessary measures to facilitate your tasks by providing the online meeting platform(s), the coordination with the client to facilitate access to documents and information, the necessary audit time to conduct your audit in the best condition

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and any other guidance you may need for your mission. Also, auditors are encouraged to inform MOTABAQAH CFCL about any help that MOTABAQAH CFCL can provide them.

### 5 Identified risk

The following risk matrix is considered

		Probability			
		Low	Medium	High	
Severity	Low	1	1	2	3
	Medium	2	2	4	6
	High	3	3	6	9

*Risk Level = Probability x Severity*

### 5.1 Risk affecting the remote audit effectiveness

Risk assessment						
N	Risk identification		Risk analysis			
	Risk	Description	Existing controls	Level of risk		
				P	S	R.L
1	Remote Audit	Taking sample from the production line will be conducted by the client which can alter the audit objectivity.	"Checklist for determination of remote audit "	1	2	2
2		Veracity and quality of the objective evidence collected may be insufficient. This can alter the audit objectivity.		1	2	2
3		Logging of non-invited personnel to the meeting rooms. Hacking the ICT tool.  This can alter the information security, data protection and confidentiality.		MOTABAQAH CFCL will use well reputed and secured program (Google meet). The program setting will be set by MOTABAQAH CFCL to ensure no access for non-invited personnel	1	2

### 5.2 Risk affecting the security and confidentiality

Risk assessment						
N	Risk identification		Risk analysis			
	Risk	Description	Existing controls	Level of risk		
				P	S	R.L

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1	Remote Audit	<p>Logging of non-invited personnel to the meeting rooms. Hacking the ICT tool.</p> <p>This can alter the information security, data protection and confidentiality.</p>	<p>MOTABAQAH CFCL will use well reputed and secured program (Google meet). The program setting will be set by MOTABAQAH CFCL to ensure no access for non-invited personnel</p>	1	2	2
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**5.3 Risk level evaluation**

The risk level is low according to the matrix, and it shall be maintained in this level. This evaluation is updated on-going basis.

**MOTABAQAH General Manager**

